

Form 210A (10/06)



## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Banque Cantonale Vaudoise

Name of Transferee

Name and Address where notices  
to transferee should be sent:

attn. Anne Paris  
Corporate Actions  
Case Postale 300  
1001 Lausanne

Phone: +41 21 212 10 68

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Neue Aargauer Bank AG

Name of Transferor

Court Claim # (if known): 55814

Date Claim Filed: October 29th 2009

Amount of Claim: \_\_\_\_\_

Portion of Claim Transferred (see

Schedule I): 20 units

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

e.r. Aue-tanis

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: 17 March 2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

**EVIDENCE OF TRANSFER OF CLAIM**



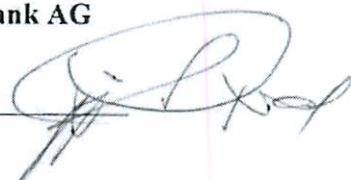
**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

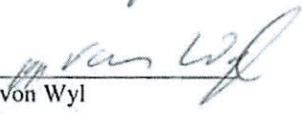
For value received, the adequacy and sufficiency of which are hereby acknowledged, **Neue Aargauer Bank AG** ("Transferor") unconditionally and irrevocably transferred to **Banque Cantonale Vaudoise** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim **Claim Nr. 55814** relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON **February 25, 2014**.

**Neue Aargauer Bank AG**

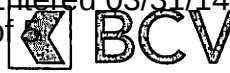
By:   
Name: Adrian Graf  
Title: AVP

By:   
Name: Rita von Wyl  
Title: AVP

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Number of Units or Currency and Nominal Amount
ANN521338114	55814	October 29, 2009	Lehman Brothers Securities NV	Units 20



## Opérations

Anne Paris  
Employée back office  
anne.paris@bcv.ch

Banque Cantonale Vaudoise  
Ch. de la Vallombreuse 100  
1008 Prilly  
Tél. 021 212 10 68  
Fax 021 212 15 62  
www.bcv.ch

Réf. : ASP

Epiq Bankruptcy Solutions LLC  
Attn : Lehman Brothers Holdings Inc Claims  
Processing  
757 Third Avenue, 3rd Floor  
10017 New York  
Etats-Unis

Prilly, le 17 mars 2014

## Evidence of Transfer of Claim

Please find attached Evidence of Transfer of Claim regarding claim nr 55814 and securities ANN521338114 and ANN5214A118.

Best Regards

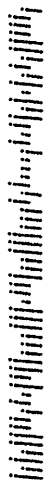
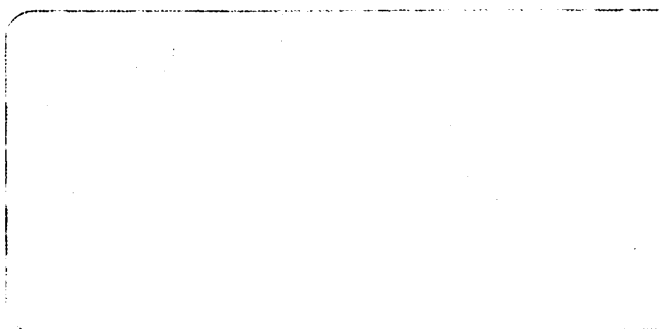
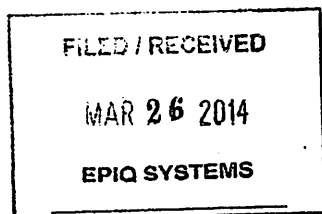
Banque Cantonale Vaudoise

  
Victor Pereira  
Assistant Vice-President

  
Anne Paris  
Authorised officer

Annexes : Form 210A  
Evidence of transfer of claim

**A** **PRIORITY**  
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